PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRADE Application Number 09/684,017 **TRANSMITTAL** Filing Date 01/08/2001 **FORM** First Named Inventor James F. Zucherman Art Unit (to be used for all correspondence after initial filing) 3731 **Examiner Name** Julian W. Woo Attorney Docket Number 44 KLYC-01000USN Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Comments on Statement of Reasons for Request for Refund **Express Abandonment Request** Allowance; Submission of Formal **Drawings Transmittal Letter** CD. Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Fliesler Meyer LLP Customer Number: 23910 Signature Reg. No.: 54,774 Date CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name

Michael L. Robbins

Date

//22/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No.: KLYC-01000USN mrobbins/klyc/1000usn/1000usn.trans.pdf



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors:

Zucherman, et al.

Appl. No.:

09/684,017

Confirm. No.: 9622

Filed: Title:

January 8, 2001

METHOD

SPINE DISTRACTION IMPLANT AND

PATENT APPLICATION

Art Unit:

3731

Examiner:

Julian W. Woo

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 22, 2004.

Michael L. Robbins, Reg. No. 54,774 Signature Date: June 22, 2004

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE UNDER 37 C.F.R. § 1.104(e)

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE is in reply to the Notice of Allowance and Fee(s) Due mailed April 15, 2004.

Remarks

These Remarks are in reply to the Notice of Allowance and Fee(s) Due mailed April 15, 2004 in the

above-identified patent application.

We appreciate the Examiner's indication that the pending claims are allowed. Applicant seeks to

clarify the Examiner's comments. The Examiner states that "none of the prior art of record, alone or in

combination, discloses a method of stabilizing a spinous process relative to another spinous process, where

the method includes introducing between the processes a device having a sealable cavity that is fillable with

material and introducing a device with shape memory or a flexible implant and allowing it to self-conform or

assume the shape of at least one of the spinous processes or an implanted shape and distract the processes,

where the implanted shape is assumed at a threshold temperature or at a different temperature." See Notice

of Allowance, page 2. Applicants would like to make clear that the features described by the Examiner, are

only features of those claims where such feature is explicitly recited. For example, claim 12 calls only for

a flexible implant which self-conforms upon implantation.

It is respectfully submitted that none of the above remarks affects the allowability of the claims, and

payment of the Issue Fee is included herewith. The Commissioner is authorized to charge any underpayment

or credit any overpayment to Deposit Account No. 06-1325 for any matter in connection with this response,

including any fee for extension of time, which may be required.

Respectfully submitted,

6/22/04

Michael L. Robbins Reg. No. 54,774

Customer No. 23910 FLIESLER MEYER LLP

Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156

Telephone: (415) 362-3800

Attorney Docket No.: KLYC-01000USN

mrrobbins/klyc/1000USN/1000USN.comments.wpd

- 2 -



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1450, Alexandria, Virginia 22313-1450, on June 22, 2004.

(Attorney Signature)

Michael L. Robbins, Reg. No. 54,774 Signature Date: June 22, 2004

SUBMISSION OF FORMAL DRAWINGS TRANSMITTAL LETTER

Official Draftsperson Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed are 37 sheets of formal drawings for the above-identified patent application as required by the Notice of Allowance dated April 15, 2004.

Subject to the Examiner's approval, please substitute the enclosed drawings for the drawings previously submitted.

Respectfully submitted,

6/22/04

Michael L. Robbins Reg. No. 54,774

Customer No. 23910 FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor

San Francisco, California 94111-4156

Telephone (415) 362-3800